SECURING NON-EJB CORBA OBJECTS USING AN EJB SECURITY MECHANISM

BACKGROUND OF THE INVENTION

1. Technical Field:

The present invention relates in general to the field of computers, and, in particular, to the field of computer software. Still more particularly, the present invention relates to an improved method and system of using Enterprise JavaBean (EJB) security mechanisms to provide authorization security for non-EJB Common Object Request Broker Architecture (CORBA) objects in an object-oriented programming environment.

2. Description of the Related Art:

Object-oriented programming (OOP) is a type of computer programming used in the development of operating system software as well as application software for a computer system. Contrary to traditional procedural programming techniques, OOP uses pre-engineered "methods" located within "objects" for the development of software. Methods are pre-configured software algorithms used to perform a particular task. Objects are self-contained software entities that consist of both methods plus variables (data) upon which the methods act. When created (instantiated) in a useful form, objects are typically referred to as "instances."

Figure 1 graphically depicts the relationship between methods 12, variables 14 and instances 16, as well as a class 10 that defines instances 16. Class 10 is a software template from which individual instances 16 can be instantiated. Class 10 defines both the formats of variables 14 (e.g., integers, strings, pointers to other objects, etc.) as well as the methods 12 used by instances 16. While class 10 defines methods 12 and the format of variables 14 only once, each instance 16 (depicted as instances 16a - 16c) may

be unique depending on what data values populate variables **14**. These data values represent each instance **16**'s particular content and location.

OOP allows the user programmer to call up objects (instances), and is implemented in two ways: client-side operations and server-side operations. Most of the earlier OOP operations were client-side, including those performed using Java™-a platform independent object-oriented programming language developed by Sun Microsystems, Inc. (Sun). Examples of client-side operations include servlets **20** and applets **22** as illustrated in **Figure 2**. Applets **14** are portable Java programs that can be downloaded on the fly and can execute in an untrusted environment. Typically, applets **22** are deployed in a Web page sent from a web server **18** to a client computer **24**, whose browser **26** contains a browser applet viewer to run applets **22**. Applets **22** typically display a user interface on client computer **24**. Servlets **20** are applets that run on web server **18** in Web server's servlet engine. Servlets **20** are networked components that can be used to extend the functionality of web server **18**. Servlets **20** are request/response oriented, in that they take requests from browser **26** and issue a response back to client computer **24**. Servlets **20** are often used for performing Web tasks such as rendering a HyperText Markup Language (HTML) interface to an e-commerce catalog.

Server-side operations are those that operate typically in an application server **28**, as depicted in **Figure 3**. Applications are sent from application server **28** to client computer **24** typically upon a request from client computer **24**. Server-side operations are useful in executing complex algorithms or performing high-volume business transactions. Application server **28** provides a highly available, fault-tolerant, transactional and multiuser secure environment. While applets **22** and servlets **20** may be deployed in server-side operations, Enterprise JaveBean (EJB) objects **30** are primarily used for server-side operations.

Java 2 Platform, Enterprise Edition™ (J2EE), also developed by Sun, is a robust suite of middleware services for developing server-side applications. An integral part of J2EE is Enterprise JavaBeans™ (EJB), which is a specification that defines a server-side architecture that enables and simplifies the process of building enterprise-class (appropriate for a large enterprise, i.e., business organization) EJB objects **30**. EJB allows the writing of scalable, reliable and secure applications in a platform-independent environment similar to that found when using Java. Thus EJB components can be provided from a variety of vendors, and simply "plugged in" to a network system, regardless of that network system's operating system.

Many of the features of EJB are derived from the Common Object Request Broker Architecture (CORBA) specification. CORBA was invented by the Object Management Group (OMG), a consortium of eleven founding companies in 1989. While EJB and J2EE are designed for use with Java oriented OOP's, CORBA supports cross-language interaction. That is, CORBA allows an object written in one language (such as Java) to interact with a second object written in a second language (such as C++). While EJB is actually a modification of CORBA, and EJB objects are often referred to as EJB CORBA objects, EJB objects must comply with Java language protocols. While CORBA offers a broader software range due to its ability to cross-talk between languages, it requires complex middleware application program interfaces (API's) to communicate between objects.

Many servers, usually because of CORBA legacy programs, contain and serve both CORBA and EJB objects. While such servers, by EJB specification, have security mechanisms to control access to EJB objects, they may or may not have security protection for CORBA objects. To provide such security in the prior art, servers have had to create a separate server-side security mechanism for CORBA objects independent of EJB object security. This is a costly process and a duplication of security effort.

SUMMARY OF THE INVENTION

The present invention recognizes the inefficiency of having dual security mechanisms for Common Object Request Broker Architecture (CORBA) and Enterprise JavaBean (EJB) objects that are located on a server. To address this problem, an EJB shadow object is created for a CORBA object. The EJB shadow object invokes an EJB security mechanism on behalf of the CORBA object, thus protecting the CORBA object from unauthorized object requesters.

In an advantageous implementation of the present invention, a server receives a request for a method on a CORBA object. The request is directed to a shadow EJB object, which is complementary to the CORBA object. The shadow EJB object directs the request for the CORBA object method to an EJB-based security service. The EJB-based security service either permits the CORBA object method to be accessed, allowing the method to be run, or returns a message showing access as being denied to the requester.

In a preferred embodiment, requesters of the CORBA object are categorized and identified by their roles in an enterprise. Authorization for access to the CORBA object is obtained by referring to a method-role mapping table that defines which users are authorized to access the CORBA object. Only those requesters having a proper role are then permitted to access the requested object.

BRIEF DESCRIPTION OF THE DRAWINGS

The novel features of the invention are set forth in the appended claims. The invention itself, however, as well as a preferred mode of use, further objects and advantages thereof, will best be understood by reference to the following detailed description of an illustrative embodiment when read in conjunction with the accompanying drawings, wherein:

Figure 1 graphically depicts relationships of Object Oriented Programming (OOP) methods, variables and instances;

Figure 2 illustrates a client-side implementation of OOP objects;

Figure 3 depicts a server-side implementation of OOP objects;

Figure 4 illustrates a high-level block diagram of a network using a server, in accordance with the present invention;

Figure 5 depicts a Role Based Authorization scheme for user authorization to access an OOP method;

Figure 6 is a software diagram illustrating the use of Enterprise JavaBeans (EJB) security measures to authorize access to an EJB method;

Figure 7 is a software diagram depicting the use of EJB security measures to authorize access to a non-EJB CORBA method;

Figure 8 is a software block diagram illustrating a shortcut method of using EJB security measures to authorize access to a non-EJB CORBA method; and

Figure 9 is a high-level software flowchart showing the implementation of the method access authorization measures used by the present invention.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

With reference now to **Figure 4**, there is illustrated a high-level diagram of a network using a server **32** in accordance with the present invention. As depicted, server **32** is a data processing system that preferably includes multiple processing units **34a-34n**. In addition to the conventional registers, instruction flow logic and execution units utilized to execute program instructions, each of processing units **34a-34n** also includes an associated one of on-board level one (L1) caches **36a-36n**, which temporarily stores instructions and data that are likely to be accessed by the associated processor. Although L1 caches **36a-36n** are illustrated in **Figure 4** as unified caches that store both instruction and data (both referred to hereinafter simply as data), those skilled in the art will appreciate that each of L1 caches **36a-36n** could alternatively be implemented as bifurcated instruction and data caches.

In order to minimize access latency, server **32** also includes one or more additional levels of cache memory, such as level two (L2) caches **38a-38n**, which are utilized to stage data to L1 caches **36a-36n**. L2 caches **38a-38n** function as intermediate storage between system memory **42** and L1 caches **36a-36n**, and can typically store a much larger amount of data than L1 caches **36a-36n**, but at a longer access latency. As noted above, although **Figure 4** depicts only two levels of cache, the memory hierarchy of server **32** could be expanded to include additional levels (L3, L4, etc.) of serially-connected or lookaside caches.

As illustrated, server **32** further includes I/O devices **44**, a system memory **42**, and a non-volatile storage **46**, which are each coupled to interconnect **40**. I/O devices **44** comprise conventional peripheral devices, such as a display device, keyboard, and graphical pointer, which are interfaced to interconnect **40** via conventional adapters. Non-volatile storage **46** stores an operating system and other software, which are loaded into volatile system memory **42** in response to server **32** being powered on.

Further connected to interconnect **40** is a communication adapter **48**, which connects server **32** to a client computer **52** via a network **50**. Network **50** may be a local area network (LAN) or a wide area network (WAN) such as an Internet. Client computer **52** may be a "thin" computing device having limited resident application software or a "fat" computer device having extensive resident application software.

With reference now to **Figure 5**, there is illustrated a Role Based Authorization (RBA) scheme for an enterprise depicted as corporation **54**. It is understood that such an enterprise may alternately be any large business organization, school, governmental agency, etc. Corporation **54** is broken out into departments A, B, and C, as depicted in blocks **56a, 56b,** and **56c** respectively. Each person in corporation **54** is assigned a role by corporation **54**'s network system manager (not shown). For example, Role 1, illustrated in block **60**, is assigned to all persons defined as managers and thus belonging to a Manager Group, depicted as block **58**. Further, non-manager "Clerk Chuck" from Department C is depicted as having been authorized by the network system manager to be assigned Role 1 as well. The purpose of a role is to authorize a specific user access to a particular data, such as an Object Oriented Program (OOP) object described below. Details of how such authorization occurs follows.

Referring now to **Figure 6**, there is depicted a software diagram showing how client code **68** for a specific client user accesses an EJB method **M1** located in an Enterprise JavaBean (EJB) object **64**, an OOP object, through a security service **72**, which is a security software system. In the scenario depicted in **Figure 6**, within server software **62** are only Enterprise JavaBean (EJB) objects, of which only one is depicted and identified as EJB object **64**. Preferably, EJB object **64** includes several methods, but only the single EJB method **M1** is shown for clarity.

Server software **62**, which is accessed and run by server **32** (depicted in **Figure 4**) communicates with client code **68**, which is associated with client computer **52** (also

shown in **Figure 4**) and used by the specific user. EJB object **64** is understood to have been previously created, preferably using an EJB home object (not shown), in a process understood by those skilled in the art of computer programming and familiar with the Java 2 Platform, Enterprise Edition™ (J2EE) and EJB specifications. EJB object **64** operates within EJB container **66**, which is a software environment that manages and executes EJB objects **64**. While only one EJB object **64** is shown for clarity's sake, preferably each EJB container **66** contains multiple EJB objects **64**. Likewise, while server software **62** is illustrated as having only one EJB container **66** for purposes of clarity, preferably server software **62** contains multiple EJB containers **66**.

When client code **68** wishes to evoke EJB method **M1** in EJB object **64**, it sends a request to Object Request Broker (ORB) **70** (Arrow **1**). ORB **70** is software, located within server software **62**, whose function includes routing requests from client code **68** to a method in an OOP object, and routing method responses (results of an operation) from the OOP object back to client code **68**. Thus in **Figure 6**, ORB **70** directs the request from client code **68** for EJB method **M1** to EJB container **66** (Arrow **2**). The request must first pass through an authorization layer **74**, which is a security layer of software, defined by the J2EE and EJB specifications, that screens requests for objects found within EJB container **66**.

A method-role mapping table **76**, which preferably has been previously created by the system manager, is a database accessible to authorization layer **74**. Method-role mapping table **76** contains a listing of which roles are authorized to access particular methods, such as EJB method **M1**. Part of the request protocol from client code **68** identifies the particular user making the request for EJB method **M1**. Thus, authorization layer **74** knows both the identity of the requesting user and the identity of the requested EJB method **M1**. Authorization layer **74** accesses method-role mapping table **76** to acquire a list of role(s) authorized to access EJB method **M1** (Arrow **3**). Authorization layer **74** sends this list of authorized role(s) along with the identity of the requesting user

to security service **72** (Arrow **4**). Security service **72** then looks up the name of the requesting user in role table **78** to determine which role(s) that requester holds. Like method-role mapping table **76**, role table **78** has been previously generated, preferably by the system manager. Security service **72** compares the requesting user's role(s) (found in role table **78**) with the role(s) which will allow access to EJB method **M1** (as determined by method-role mapping table **76**), and determines if there is a role match. The results of this role matching are sent back to authorization layer **74** (Arrow **5**). If the roles matched, authorization layer **74** notifies EJB object **64** that EJB method **M1** to be run (Arrow **6**), and the results of running EJB method **M1** are returned to ORB **70** (Arrow **7**). If the roles do not match, authorization layer **74** sends ORB **70** a fault message (Arrow **7a**), such as an "Interrupt," "Time out," "Error," or similar message, notifying ORB **70** that the request for EJB method **M1** is not authorized. The results from EJB method **M1** or the fault message are then returned to client code **68** for the requesting user (Arrow **8**).

Reference is now made to **Figure 7**, which depicts a non-EJB Common Object Request Broker Architecture (CORBA) object **80** located in server software **62** that is being requested. Note that while EJB objects described above are actually types of CORBA objects, for clarity EJB CORBA objects will be referred to as "EJB objects" and non-EJB CORBA objects will now be referred to as "CORBA objects." Note further that EJB object **64** may also reside in server software **62** within EJB container **66**, but the request described in **Figure 7** is for a method on CORBA object **80**, NOT for a method from EJB object **64**. As described above, CORBA objects do not have inherent security authorization protocols. Therefore, the inventive process now described affords such authorization security to CORBA object **80** and its methods.

As with the request for an EJB object described in **Figure 6**, a request is shown in **Figure 7** for a CORBA method **M2** from client code **68** as being sent to ORB **70** (Arrow **101**). ORB **70** directs the request to CORBA object **80**, which contains a

CORBA method **M2** (Arrow **102**), which conforms to the CORBA specification. CORBA object **80** has previously been modified by the system manager to redirect the request for CORBA method **M2** to shadow EJB object **65**.

Shadow EJB object **65** is an object created under the J2EE and EJB specifications to mirror CORBA object **80**. Shadow EJB object **65** contains methods that correspond to CORBA methods found in CORBA objects, but shadow EJB object **65** contains no variables or data. Thus, shadow EJB object **65** is preferably incapable of performing any function other enabling than the authorization of access to CORBA object **80** as described in detail below. In the depiction, a shadow EJB method **M2'** is an EJB counterpart to CORBA method **M2**. For programmer convenience, shadow EJB method **M2'** may have the same name as CORBA method **M2**, or shadow EJB method **M2'** and CORBA method **M2** may have different names. As illustrated by Arrow **103**, CORBA object **80** then directs a request for shadow EJB method **M2'** to ORB **70**. ORB **70** sends the request for shadow EJB method **M2'** to authorization layer **74** (Arrow **104**).

Method-role mapping table **76** contains a listing of which roles are authorized to access shadow EJB method **M2'**. Part of the request protocol from client code **68** identifies the particular user making the request for CORBA method **M2**. Thus, authorization layer **74** knows both the identity of the requesting user and the identity of the requested CORBA method **M2** and its shadow EJB method **M2'**. Authorization layer **74** accesses method-role mapping table **76** to acquire a list of role(s) authorized to access shadow EJB method **M2'** (Arrow **105**). Authorization layer **74** sends this list of authorized role(s) along with the identity of the requesting user to security service **72** (Arrow **106**). Security service **72** then looks up which role(s) the requesting user has in role table **78**. Security service **72** compares the requesting user's role(s) (found in role table **78**) with the role(s) which will allow access to shadow EJB method **M2'** (as determined by method-role mapping table **76**), and determines if there is a role match.

The results of this role matching are sent back to authorization layer **74** (Arrow **107**). If the roles matched, authorization layer **74** notifies shadow EJB object **65** that shadow EJB method **M2'** may be run (Arrow **108**), and ORB **70** is notified that shadow EJB method **M2'**, and thus non-EJB CORBA method **M2**, is authorized to run (Arrow **109**). If the roles do not match, authorization layer **74** sends to ORB **70** a fault message, such as "Interrupt," "Time out," "Error," or a similar message, notifying ORB **70** that the request for non-EJB CORBA method **M2** is not authorized (Arrow **108a**). Either the result of the successful call to shadow EJB method **M2'** or the fault message will then be sent from ORB **70** back to non-EJB CORBA object **80**. If a fault message is returned to non-EJB CORBA object **80**, that fault message is propagated back to ORB **70** for transmittal back to client code **68** and the requesting user (Arrow **112**). If the roles matched, then non-EJB CORBA object **80** is allowed to execute CORBA method **M2** (Arrow **110**), and non-EJB CORBA object **80** returns the requested results of executing CORBA method **M2** to ORB **70** (Arrow **111**) for transmittal back to client code **68** (again Arrow **112**). Thus, object **80** has been able to use the EJB security methodology enabled by shadow EJB object **65**.

Referring now to **Figure 8**, there is depicted a block diagram of software used in an alternative embodiment of the present invention, wherein method-role mapping table **76** is directly accessed without calling a shadow EJB object. As in **Figure 7**, server software **62** as depicted in **Figure 8** may contain EJB object **64** as well as CORBA object **80** and its shadow EJB object **65**. However, **Figure 8** again assumes the request for a method from client code **68** is for a method on CORBA object **80**. Thus, client code **68** sends a request to ORB **70** for a CORBA method **M2** (Arrow **201**). ORB **70** directs the request to CORBA object **80**, which contains CORBA method **M2** (Arrow **202**). CORBA object **80** has been previously modified to utilize a "shortcut" EJB security mechanism derived from that described above. Thus, CORBA object **80** first

sends security service **72** the name of shadow EJB **65** and the name of shadow EJB method **M2'** located on shadow EJB object **65** (Arrow **203**).

Part of the request protocol from client code **68** identifies the particular user making the request for non-EJB CORBA method **M2**. Thus security service **72** has both the name of the user and enough information to access the method-role mapping table **76** for shadow EJB object **65**. Security service **72** then requests which role(s) are authorized to access shadow EJB method **M2'** (Arrow **204**), and returns these role(s) to itself (Arrow **205**). Security service **72** then looks up which role(s) the requesting user has in role table **78**. Security service **72** compares the requesting user's role(s) (found in role table **78**) with the role(s) which will allow access to shadow EJB method **M2'** (found in method-role mapping table **76**), and determines if there is a role match. The results of this role matching are sent back to CORBA object **80** (Arrow **206**). If the roles matched, CORBA object **80** returns the results of the request to CORBA method **M2** to ORB **70** (Arrow **207**), which passes the results on to client code **68** (Arrow **208**). If the roles do not match, CORBA object **80** sends ORB **70** a fault message, such as an "Interrupt,", 'Time out," "Error," or similar message, which passes the fault message back to client code **68** (again Arrows **207** and **208**).

Reference is now made to **Figure 9**, which is a high-level software flowchart of the embodiment of the present invention as depicted in **Figure 8**. As depicted in block **82**, the server ORB receives the method request from the specific user of the client computer. The server ORB queries, as described in block **84**, whether the method is located in an EJB object or a non-EJB CORBA object. If the method is on a non-EJB CORBA object, the request is directed to that non-EJB CORBA object as shown in block **86**. The non-EJB CORBA object then sends the name of the shadow EJB object and the name of the requested method to the security service, as depicted in block **90**. The security service uses this information to locate the role-method table for the shadow EJB method and checks for authorization of the user to call the shadow EJB method, and thus

the non-EJB CORBA method, according to the role matching process described above. If the requester is authorized to call the method, then the method on the CORBA object is allowed to return a result to the requester, as described in blocks **102** and **106**. If the roles do not match, an exception message is returned to the requester, as shown in block **104**.

If the method called is a method on an EJB object, the request for the method is directed to that EJB object, as described in block **92**. The container having the EJB object sends the required security role and name of the requester to the security service, as described in block **94**. The security service then role matches (block **98**), and either returns a result from the requested method (block **100**) or an error message (block **96**) back to the requester.

The present invention therefore provides security authorization for non-EJB CORBA methods and objects without having to create a separate parallel security mechanism with the existing EJB security mechanism in the server. Using role based authorizations allows scalability by simply assigning as many users as desired the required role to access the method. By using the existing EJB security mechanism, speed is increased since a separate security authorization program, with its own memory and table requirements, is not needed. Thus, common security run-time, deployment tools, installation tools and administration tools already in place for EJB objects can be used for authorizing and invoking non-EJB CORBA objects and methods.

It should further be appreciated that the method described above for utilizing EJB security with non-EJB CORBA objects can be embodied in a computer program product in a variety of forms, and that the present invention applies equally regardless of the particular type of signal bearing media utilized to actually carry out the method described in the invention. Examples of signal bearing media include, without limitation,

recordable type media such as floppy disks or compact disk read only memories (CD ROMS) and transmission type media such as analog or digital communication links.

While the invention has been particularly shown and described with reference to a preferred embodiment, it will be understood by those skilled in the art that various changes in form and detail may be made therein without departing from the spirit and scope of the invention. For example, while the invention has been described as being used in a server on a network, the method and system described may be practiced on a stand-alone computer such as a desktop, a laptop or a personal digital assistance (PDA).